



## IMPORTANT INSTRUCTIONS FOR COMPLETING THIS FORM

*The form you requested follows this page. You can either complete it on your computer and then print it out, or print it out first and fill in by hand.*

www.schwabcharitable.org  
800-746-6216

---

### FOLLOW THESE EASY STEPS TO COMPLETE YOUR FORM:

1. Scroll down and type the requested information in the corresponding field. 
  - You can move among the fields by using your mouse or the “Tab” key.
  - If you’d like to clear all the fields you’ve completed, click the  button.
2. When you’ve completed the form, click the  button.  
**Please note: Adobe® Acrobat® Reader® does not allow you to save your work. It’s very important that you print out your form immediately after completing it.**
3. **When your form is complete, please review it, sign it and send it via mail, overnight delivery or fax (when applicable) to the following address:**

Schwab Fund for Charitable Giving  
101 Montgomery Street  
San Francisco, CA 94104  
Fax: 415-989-1047

*Additional delivery instructions are on the last page.*

---

Be sure to enclose any accompanying materials with your form (such as a check for an initial contribution to open a new account). Should you have any questions, or need help, just call 800-746-6216.

# CONTRIBUTE TO A CHARITABLE GIFT ACCOUNT



Schwab Fund for Charitable Giving®

THE FORM SHOULD ACCOMPANY AN *OPEN A CHARITABLE GIFT ACCOUNT* APPLICATION FOR FUNDING NEW ACCOUNTS.  
USE THIS FORM TO ALSO FUND AN EXISTING CHARITABLE GIFT ACCOUNT.

CLEAR

PRINT

QUESTIONS? CALL 800-746-6216

www.schwabcharitable.org

Please select the type of contribution(s) you would like to make and complete the sections indicated:

- Transfer of assets from a Charles Schwab & Co., Inc. account:** Complete Sections 1 and 6
- Check, money order or wire transfer:** Complete Sections 2 and 6
- Security certificates held in personal possession:** Complete Sections 3, 5 and 6
- Restricted stock or other assets:** Please call 800-746-6216 for instructions
- Transfer of assets held at financial institutions other than Charles Schwab & Co., Inc.:** Complete Sections 4, 5 (if applicable) and 6

Contributions to your Charitable Gift Account can include marketable securities including mutual funds and cash. Initial contributions must be in the amount of \$10,000 or more, with subsequent contributions at a minimum of \$500. All contributions are irrevocable, and may be tax-deductible.

Please contribute the assets specified below to:

Charitable Gift Account Number *(not applicable to new accounts)*

- OR:  The Schwab Fund for Charitable Giving's Philanthropy Fund  
This is a fund established to make charitable grants and to sponsor educational programs. Donors may not request grants from the Philanthropy Fund.

If you are contributing to an account with Charitable Asset Management\*, please check here and fill in the advisor's information below

Advisory Firm's Name

\* Charitable Asset Management gives donors the ability to recommend an investment advisor to manage the assets in their account. For more information, call (800) 746-6216.

## COMPLETE THE APPROPRIATE SECTION FOR THE TYPE OF CONTRIBUTION BEING MADE

Please identify contributions made by *each* Donor to ensure that each Donor receives an appropriate acknowledgement for tax purposes. If contributions are being made by multiple donors, please make a photocopy of this form and clearly indicate which assets are contributed by which donor.

### 1 TRANSFER OF ASSETS FROM A CHARLES SCHWAB & CO., INC. ACCOUNT

Also complete Section 6 before sending or faxing the signed form to the Fund.

FROM:

Schwab Account Number <sup>†</sup>	Name(s) on Account
------------------------------------	--------------------

<sup>†</sup> If you are transferring assets from multiple Schwab accounts, please complete a separate form for each account.

SPECIFY ASSETS

- Cash amount: \$ \_\_\_\_\_
- Stocks, bonds, mutual funds or other assets:

Description of Assets/Name of Security	Symbol (if applicable)	Number of Shares or "All" <sup>‡</sup>	Approximate \$ Value
			<b>TOTAL</b>

<sup>‡</sup> Number of shares must be specified. The Fund will transfer assets based on the number of shares specified, not the approximate \$ value indicated since security values may fluctuate.

### 2 CONTRIBUTION BY CHECK, MONEY ORDER OR WIRE TRANSFER

Wire transfer instructions are on the back page of this form. Also complete Section 6 before sending the signed form to the Fund.

<input type="checkbox"/> Check or money order enclosed for \$ _____ (Make payable to Schwab Fund for Charitable Giving)	<input type="checkbox"/> Wire \$ _____
--	--

### 3 TRANSFER SECURITY CERTIFICATES HELD IN PERSONAL POSSESSION

Also complete Section 5 and Section 6 before sending the signed form to the Fund.

#### ENDORSE STOCK OR BOND CERTIFICATES

Please have all registered owners of the donated assets endorse each certificate by writing "Charles Schwab & Co., Inc." between the words "appoint" and "attorney" in the space provided on the back of the certificate. All registered owners must sign and date each certificate exactly as their name appears on the face of the certificate. **Notarize or obtain a signature guarantee on the back of each security certificate as well.**

#### SPECIFY SECURITIES

Name of Security	Number of Shares	Approximate \$ Value
		<b>TOTAL</b>

**Note:** If you are sending security certificates, we suggest that you send them with this form via registered, certified or overnight mail service, and request a return receipt.

### 4 TRANSFER OF ASSETS FROM FINANCIAL INSTITUTIONS OTHER THAN CHARLES SCHWAB & CO., INC.

**Important:** Check only ONE of the instruction boxes below, follow the specified instructions, and initial the authorization box below.

**I will instruct my financial institution to initiate the transfer of assets by:**

- Contacting my financial institution directly to request the required procedures and forms to expedite the transfer process, and
- Completing Sections 4 and 6 of this form (*a separate form for each transfer requested of my financial institution*), and sending to the Schwab Fund for Charitable Giving.

**I understand that I will contact and instruct my financial institution directly to initiate the transfer of assets to my Schwab Charitable Gift Account. I will confirm with my financial institution that the transfer of assets has been completed as specified in the delivery instructions on the back page of this form.**

**OR:**

**I will instruct the Schwab Fund for Charitable Giving to initiate the transfer of assets by:**

- Completing Sections 4, 5 and 6 of this form (*Note: a notarized signature or signature guarantee is required for transfer of assets from a financial institution other than Charles Schwab & Co., Inc.*), and
- Sending the signed form to the Schwab Fund for Charitable Giving for each transfer requested. (*Please attach a copy of your most recent statement for each account you are transferring from.*)

**Authorization: Please accept this as my authorization to irrevocably transfer the following assets from my account(s) at the firm specified below to the Schwab Fund for Charitable Giving into the following account (*securities are to be transferred in-kind and are not to be liquidated*).** \_\_\_\_\_  
 (Donor initials)

**TO:**

Charitable Gift Account Number (*not applicable to new accounts*)

Name(s) on Account

**FROM:**

Account Number

Name(s) on Account

Name of Firm Holding Assets	Firm Telephone Number
Firm Mailing Address	City, State, Zip Code

#### SPECIFY ASSETS

Cash amount: \$ \_\_\_\_\_

Stocks, bonds, mutual funds or other assets:

Description of Assets/Name of Securities	Symbol ( <i>if applicable</i> )	Number of Shares or "All" <sup>‡</sup>	Approximate \$ Value
			<b>TOTAL</b>

<sup>‡</sup>Number of shares must be specified. The Fund will transfer assets based on the number of shares specified, not the approximate \$ value indicated since security values may fluctuate.

## 5 NOTARIZE SIGNATURES OR OBTAIN SIGNATURE GUARANTEES

A notarized signature or signature guarantee is required for contributions of security certificates held in personal possession, and to request for the Schwab Fund for Charitable Giving to initiate a transfer of assets held at other financial institutions. Notary and signature guarantee services are available at many banks. **(Note: Required for Sections 3 and 4.)**

Name of Officer	Title of Officer
Name of Bank or Firm	<b>X</b> Signature
Date	
Place stamp or notarial seal below.	

## 6 AUTHORIZE CONTRIBUTION

This is my written authorization to irrevocably transfer ownership of the assets specified in Sections 1, 2, 3 or 4 of this form (including restricted stock) to the Schwab Fund for Charitable Giving. I understand that any contribution, once accepted by the Fund, represents an *irrevocable contribution* to the Schwab Fund for Charitable Giving and is not refundable to me for any reason.

### SIGNATURES REQUIRED

For assets held in a joint account, a registered owner of the donated assets must provide a signature, and is acting on behalf of all the registered owners of the assets. For assets held in other types of accounts, all registered owners must provide a signature. Note: If you are donating security certificates held in personal possession, signature(s) must correspond with the name(s) written on the face of the certificate(s) or bond(s) in every particular without alteration.

Donor Name	<b>X</b> Donor Signature	Date
Donor Name	<b>X</b> Donor Signature	Date

## DELIVERY INSTRUCTIONS

**PRINT**

### RECEIVING FIRM INFORMATION

#### Name and Address

Schwab Fund for Charitable Giving  
 101 Montgomery Street  
 San Francisco, CA 94104  
 800-746-6216

### WIRE TRANSFER INSTRUCTIONS

#### Wire to: Citibank N.A.

New York, NY 10043  
 ABA#: 021000089  
 FBO: Charles Schwab & Co., Inc.  
 Citibank Account: 40553953  
 Charitable Gift Account Number: \_\_\_\_\_  
 Donor Name: \_\_\_\_\_

### DELIVERY INSTRUCTIONS

All deliveries **MUST** include client name and the Charitable Gift Account number.

#### Forward Checks, Physical Delivery of Securities, and the Signed Form

Via U.S. Mail or overnight delivery:  
 Schwab Fund for Charitable Giving  
 101 Montgomery Street  
 San Francisco, CA 94104

#### \*Via Fax:

Schwab Fund for Charitable Giving  
 415-989-1047

*\*Fax delivery can only be accepted for wire transfers and transfer of assets from a Charles Schwab & Co., Inc. account.*

#### All DTC-Eligible Securities

Deliver to DTC Clearing 0164, Code 40

#### Book-Entry GNMA Securities

PTC/GNMA  
 BYORK/Charles Schwab  
 A/C#: 327005  
 Charles Schwab & Co., Inc.  
 FFC: Charitable Gift Account Number: \_\_\_\_\_

#### Fed-Entry Securities (e.g., Treasury Notes)

US TR NYC/Trust  
 ABA#: 021001318  
 A/C Charles Schwab & Co., Inc.  
 US Trust A/C#: 85225000  
 FFC: Charitable Gift Account Number: \_\_\_\_\_

### FOR SCHWAB FUND FOR CHARITABLE GIVING USE ONLY:

Approved by	Print Name of Approver	Date Approved
-------------	------------------------	---------------